



$s+\partial$

# Gaps, almost disjoint families and a Ramsey ultrafilter

Jorge Antonio Cruz Chapital

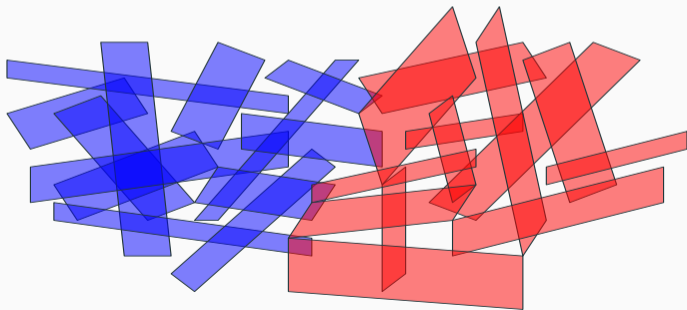
Work in progress.

February 1, 2024

## Pregap(in $\mathcal{P}(\omega)$ )

Let  $\mathcal{L}, \mathcal{R} \subseteq \mathcal{P}(\omega)$ . We say that  $(\mathcal{L}, \mathcal{R})$  is a pregap if

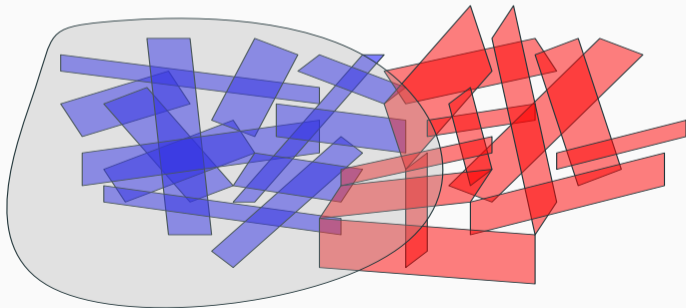
$$L \cap R =^* \emptyset \text{ for all } L \in \mathcal{L} \text{ and } R \in \mathcal{R}.$$



**Gap(in  $\mathcal{P}(\omega)$ )**

A pregap  $(\mathcal{L}, \mathcal{R})$  is a gap, if there is NO  $C \subseteq \omega$  such that:

$$L \subseteq^* C \text{ and } R \cap C^* = \emptyset \text{ for all } L \in \mathcal{L} \text{ and } R \in \mathcal{R}$$



## Type of a pregap

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Let  $(X, <_X)$  and  $(Y, <_Y)$  be two partial orders. We say that a pregap  $(\mathcal{L}, \mathcal{R})$  is an  $(X, Y)$ -pregap if  $(\mathcal{L}, \subseteq^*) \equiv (X, <_X)$  and  $(\mathcal{R}, \subseteq^*) \equiv (Y, <_Y)$ .

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### Theorem(Baumgartner-Under PFA)

Let  $\kappa \leq \lambda$  be infinite cardinals. There is a  $(\kappa, \lambda)$ -gap if and only if  $(\kappa, \lambda) \in \{(\omega_1, \omega_1), (\omega, \mathfrak{b})\}$ .

## Why are gaps important?

According to Sikorski's extension Theorem, gaps in Boolean algebras are the only obstructions when we want to extend homomorphisms from one Boolean algebra to another one. For the particular case of gaps in  $\omega$ , this is important since...



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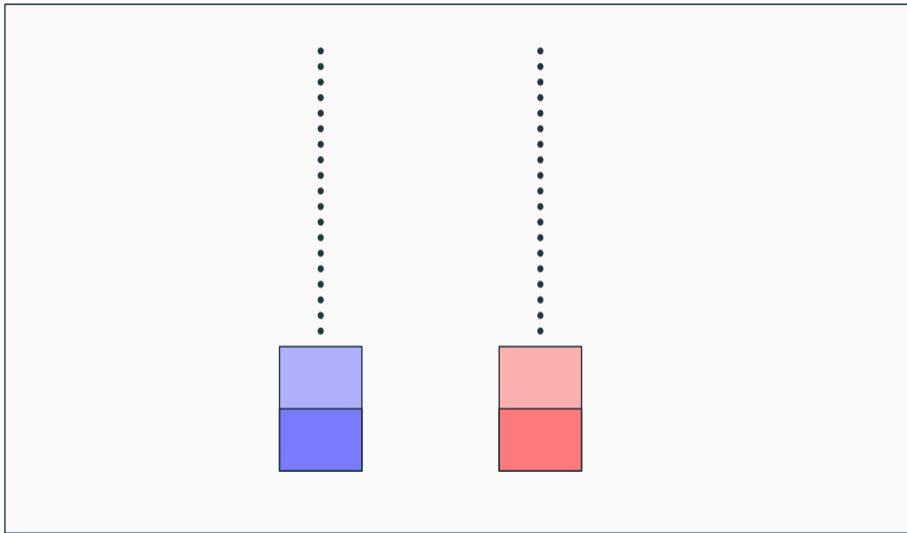
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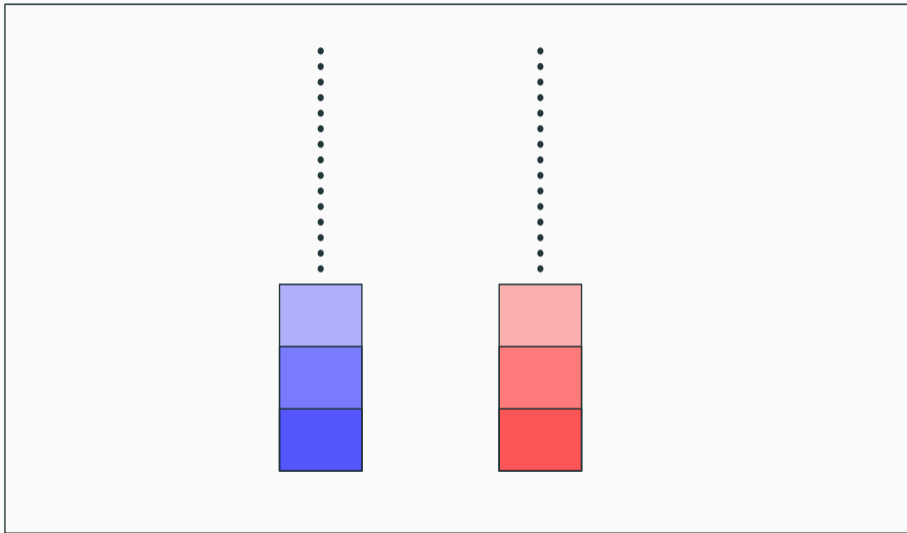
We will analyze this question for the case of  $(X, Y)$ -gaps where  $X$  and  $Y$  are partial orders of size  $\omega_1$ .

## How do $(\omega_1, \omega_1)$ -pregaps look like?



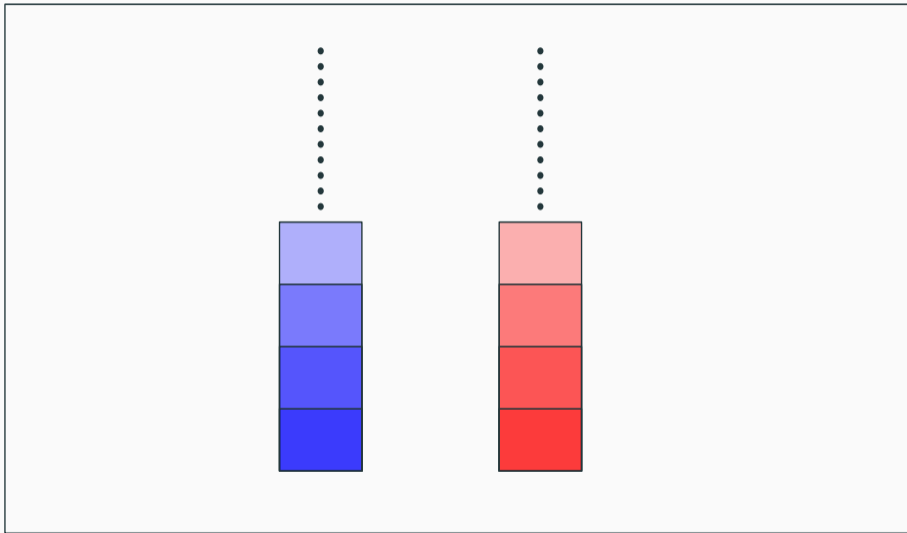
Gaps, almost disjoint families and a Ramsey ultrafilter

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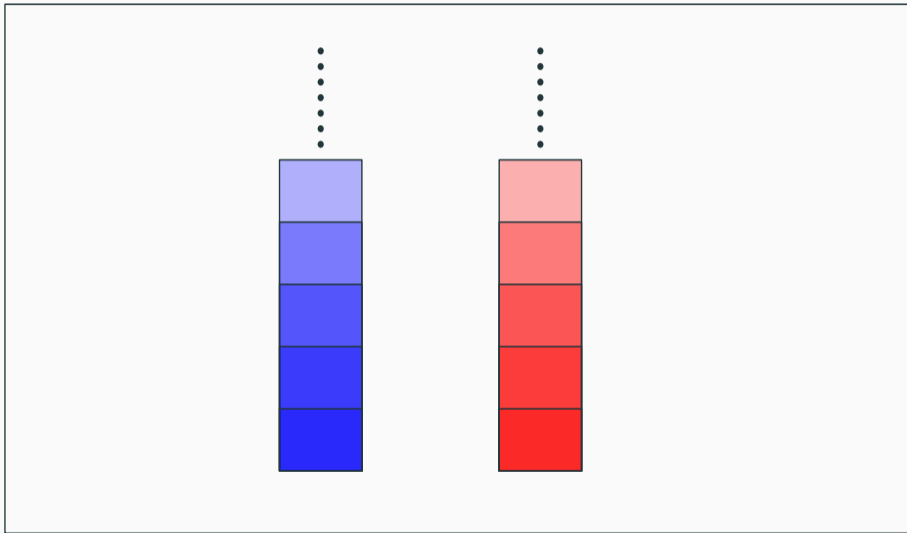
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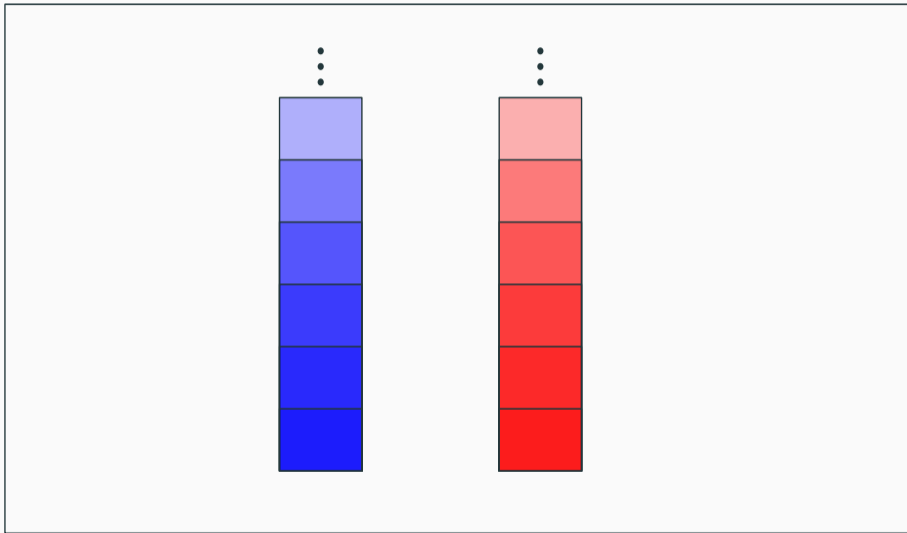
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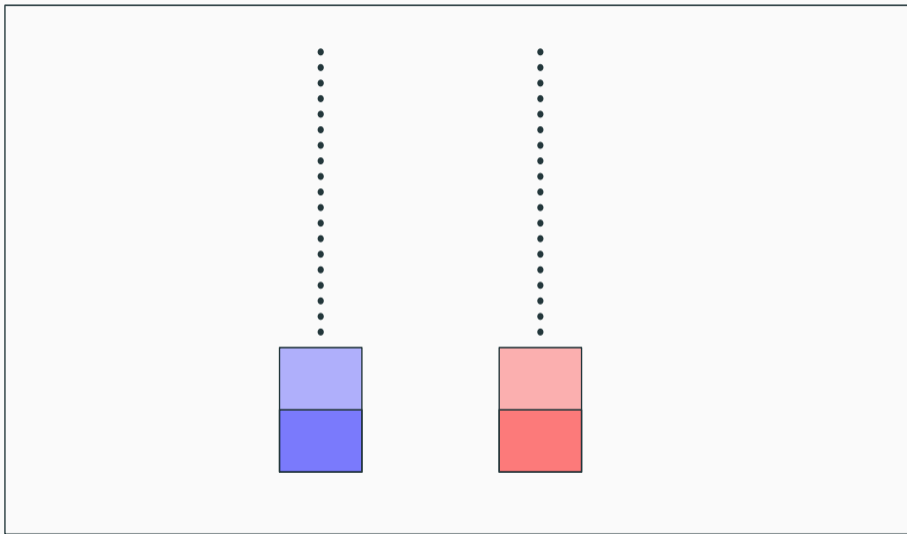
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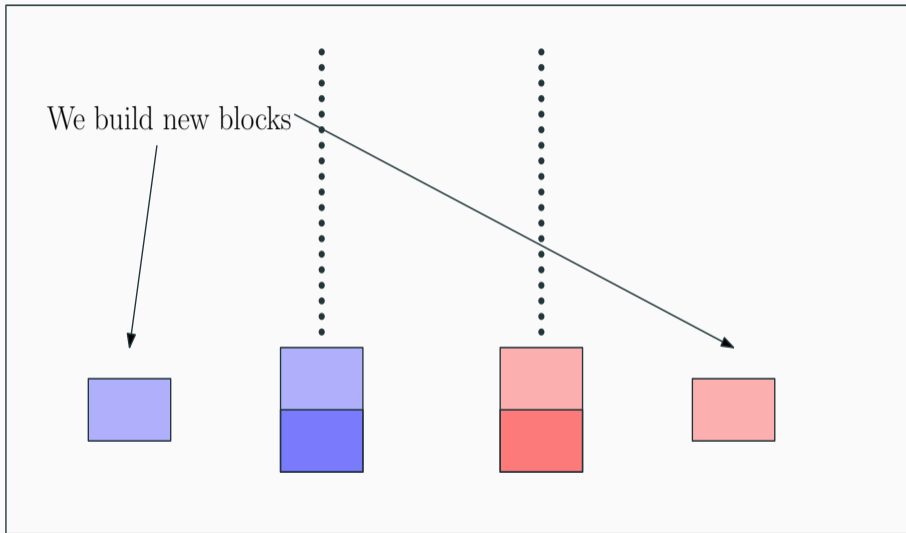


## Oversimplifying the process



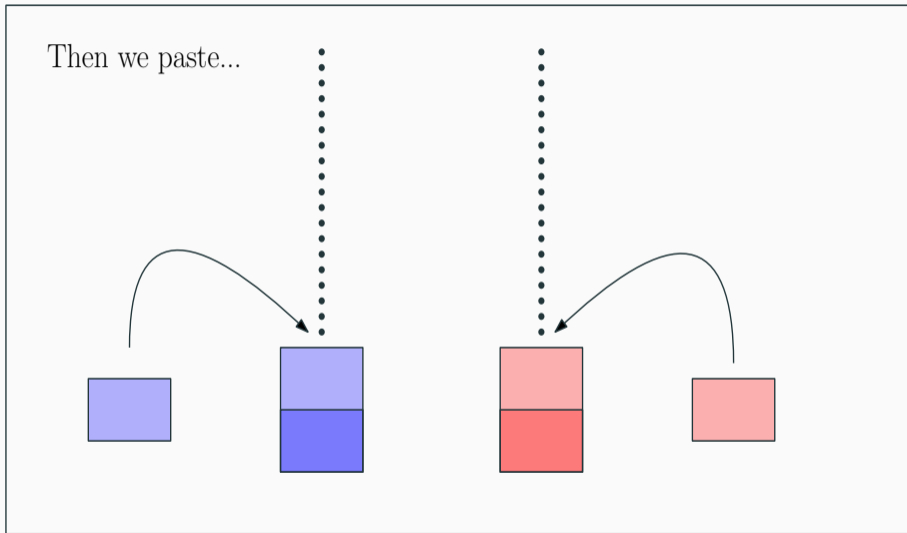
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# Oversimplifying the process



Gaps, almost disjoint families and a Ramsey ultrafilter

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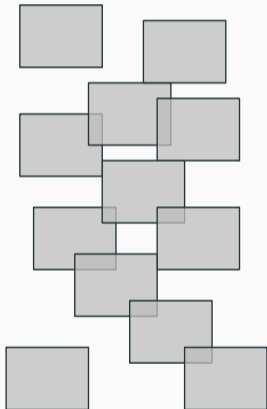
But what if..

What if instead of building the “blocks”, we grab some existing ones?

Gaps, almost disjoint families and a Ramsey ultrafilter

# rethinking the process

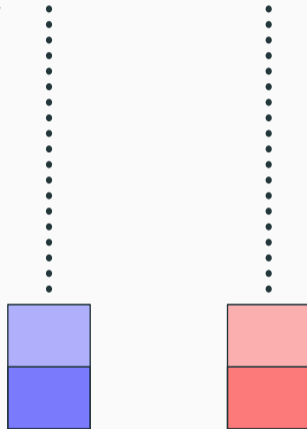
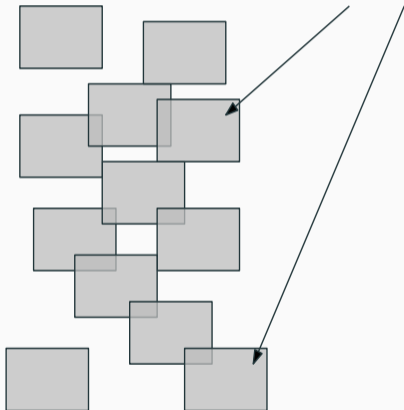
Now, someone gives us a family of blocks.



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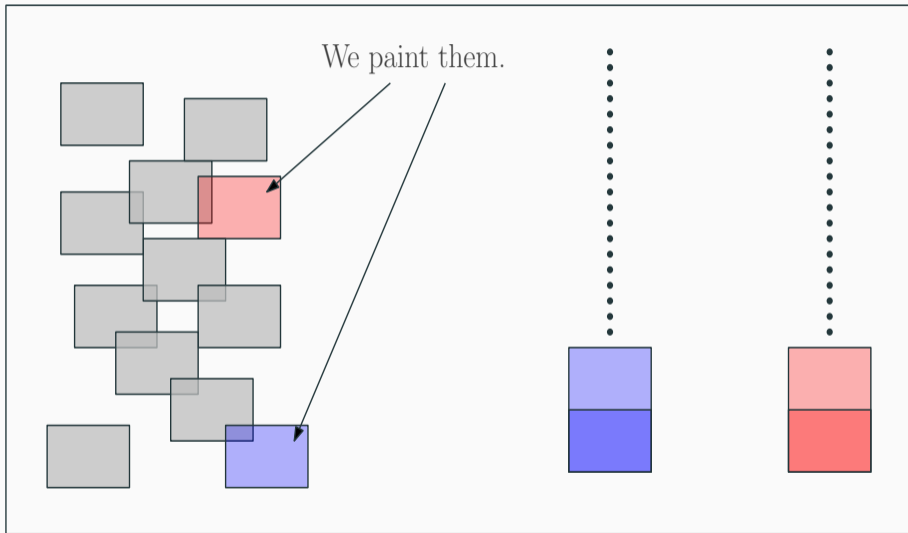
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At each successor step, we choose two of them.



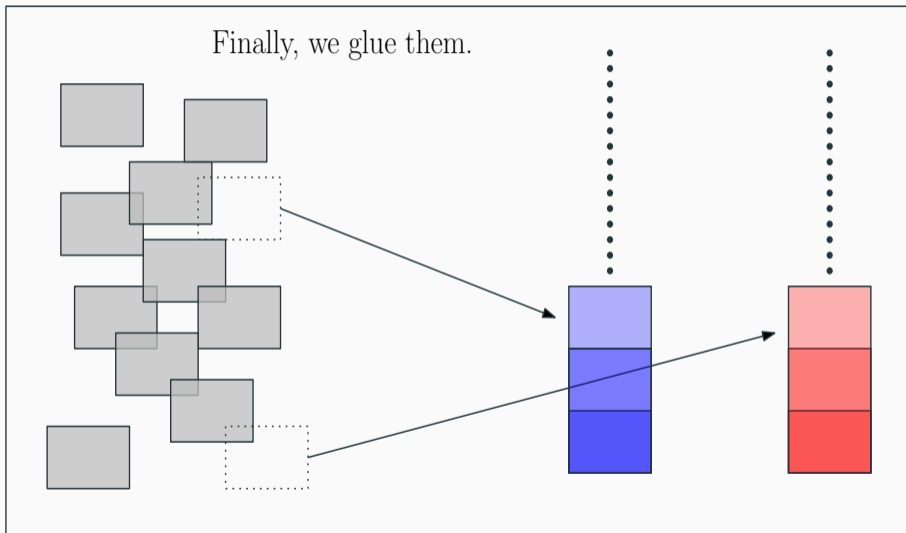
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Gaps, almost disjoint families and a Ramsey ultrafilter



### Almost disjoint family

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## Luzin families

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### Luzin families

An almost disjoint family (AD family)  $\mathcal{A}$  is Luzin if  $|\mathcal{A}| = \omega_1$  and for all disjoint  $\mathcal{D}, \mathcal{E} \in [\mathcal{A}]^{\omega_1}$ , the pair  $(\mathcal{D}, \mathcal{E})$  is a gap.

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### Theorem(Luzin)

There is a Luzin family.

## Luzin representation

Let  $(X, \leq)$  be a partial order of size  $\omega_1$ . A *Luzin representation* of  $X$  is an ordered pair  $(\mathcal{T}, \mathcal{A})$  of two families of infinite subsets of  $\omega$  indexed as  $\langle T_x \rangle_{x \in X}$  and  $\langle A_x \rangle_{x \in X}$  respectively. Moreover,  $\mathcal{A}$  is Luzin and the following conditions hold:

- $A_x \subseteq T_x$ .
- If  $y \not\leq x$  then  $A_y \subseteq^* T_y \setminus T_x$ .
- If  $\inf(x, y)$  exists then  $T_x \cap T_y =^* T_{\inf(x, y)}$ .
- If  $(\leftarrow, y) = \{z \in X : z < y\}$  has a maximum and equals  $x$  then  $T_y \setminus T_x = A_y$ .
- If there is no  $z \in X$  with  $z \leq x$  and  $z \leq y$  then  $T_x \cap T_y =^* \emptyset$ .

If  $\mathcal{A}$  is Luzin family and there is  $\mathcal{T}$  so that  $(\mathcal{T}, \mathcal{A})$  is a Luzin representation of  $X$ , we say that  $\mathcal{A}$  codes  $X$ .

## The main theorem of this talk

### Definition

We say that a partial order  $(X, \leq)$  is  $\omega_1$ -like if:

- $|X| = \omega_1$ ,
- $X$  is well founded,
- $|(\leftarrow, x)| \leq \omega$  for each  $x \in X$ .

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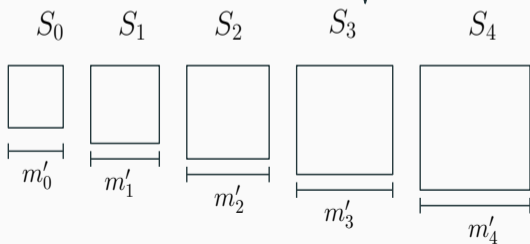
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## Theorem

There is a Luzin Family which codes any  $\omega_1$ -like order.

## Describing the AD family from the main theorem

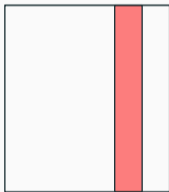
We think of  $\omega$   
as a disjoint union of squares.



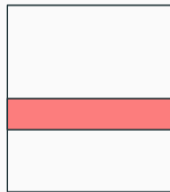
## Describing the AD family of the main theorem

On each square  $S_n$ , an element  $A_\alpha$  of  $\mathcal{A}$   
will either look like

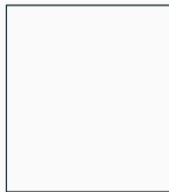
A vertical line in  $S_n$ .



An horizontal line in  $S_n$



The empty set on  $S_n$





## Deciding horizontality verticality or emptiness

For each  $\alpha \in \omega_1$ , we decide whether  $A_\alpha \cap S_n$  is an h. line, v. line or  $\emptyset$  with a function

$$\Xi_\alpha : \omega \longrightarrow \{-1, 0, 1\}.$$

where  $-1$  codes emptiness,  $0$  codes horizontality and  $1$  codes verticality.

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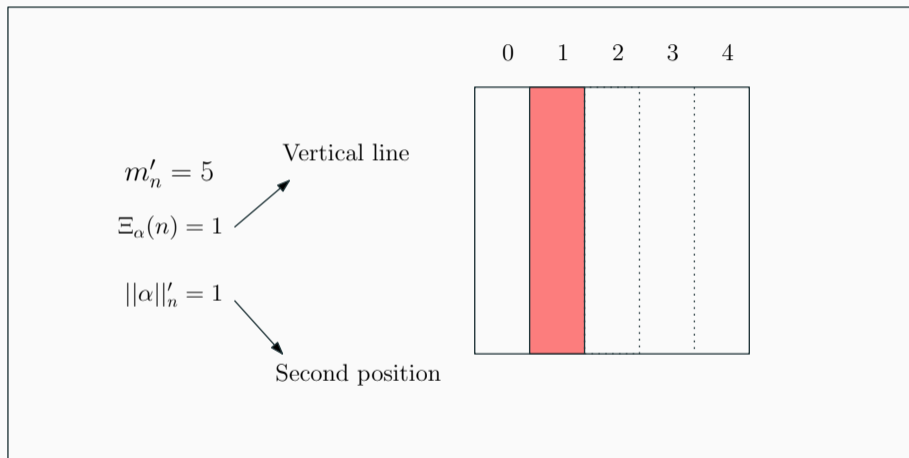
## Deciding exact position of the line

To choose the exact position in the line (in case there is one), we use a function

$$\|\alpha\|'_- : \omega \longrightarrow \omega.$$

so that  $\|\alpha\|'_n < m'_n$  for each  $n$  (Recall that  $m'_n$  is the length of a side of the square).

# Example



## What is missing...

### A $\rho$ -function

In order for the construction to work we need the existence of a function  $\rho : [\omega_1]^2 \rightarrow \omega$  which satisfies the following properties for each  $\alpha < \beta \in \omega_1$  :

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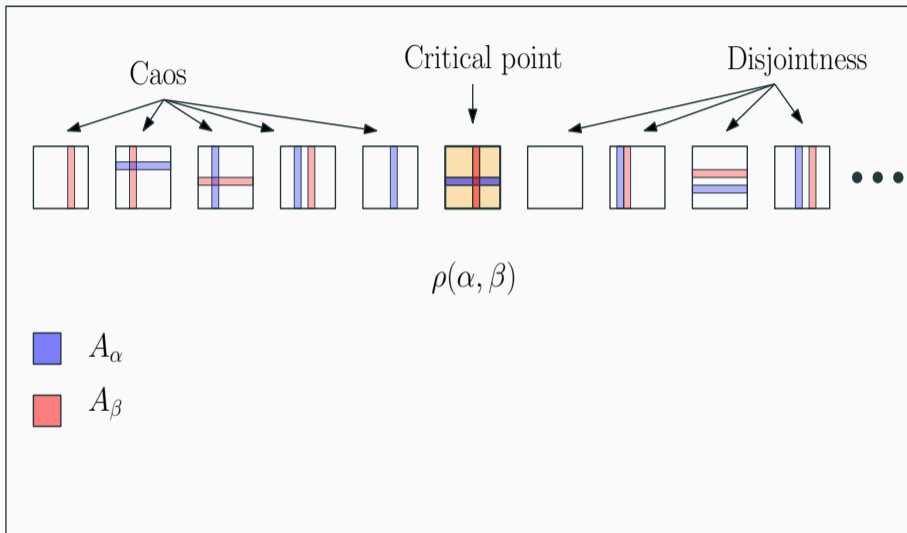
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- Moreover  $\rho$  is an ordinal metric (ordinal metrics are functions reassembling metrics but with some minor (but important) differences).

The picture looks like this



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In the actual construction, the functions  $\rho$ ,  $\Xi$  and  $\|\alpha\|'_-$  are just coding a so called  $(\omega, 1)$ -gap morass.

$(\omega, 1)$ -gap morasses can be thought as a particular case of structures called construction schemes defined Todorćević.

### Corollary

Let  $X$  and  $Y$  be two  $\omega_1$ -like orders. Then there is an  $(X, Y)$ -gap.

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Consider  $(\mathcal{T}, \mathcal{A})$  to be a Luzin representation of  $Z$ . Then  $(\{T_x\}_{x \in X}, \{T_y\}_{y \in Y})$  is an  $(X, Y)$ -pregap.

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Note that if  $C \subseteq \omega$  separates  $(\{T_x\}_{x \in X}, \{T_y\}_{y \in Y})$ , it would also separate  $(\{A_x\}_{x \in X}, \{A_y\}_{y \in Y})$ . But  $\mathcal{A}$  is Luzin, so such  $C$  cannot exist. In other words,  $(\{T_x\}_{x \in X}, \{T_y\}_{y \in Y})$  is a gap. □

It can be easily seen that any partial order of cofinality  $\omega_1$  has a cofinal  $\omega_1$ -like subset.  
Therefore...

### Corollary

For any two partial orders  $X$  and  $Y$  with  $\text{cof}(X) = \text{cof}(Y) = \omega_1$ , there are cofinal  $X' \subseteq X$  and  $Y' \subseteq Y$  so that there is an  $(X', Y')$ -gap.

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### Corollary

There is an  $(\omega_1, \omega_1)$ -gap  $(\mathcal{L}, \mathcal{R}) = (L_\alpha, R_\alpha)_{\alpha \in \omega_1}$  so that the family

$$\{L_{\alpha+1} \setminus L_\alpha : \alpha \in \omega_1\} \cup \{R_{\alpha+1} \setminus R_\alpha : \alpha \in \omega_1\}.$$

is a Luzin family.

Is every  $(\omega_1, \omega_1)$ -gap, a gap due to an almost disjoint family?



### Definition

We say that an  $(\omega_1, \omega_1)$ -gap  $(L_\alpha, R_\alpha)_{\alpha \in \omega_1}$  is donut-inseparable, if

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## Donut-inseparability

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We have already seen that there are donut-inseparable gaps. However, there are also gaps which are not. This suggests the following definition.

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We have already seen that there are donut-inseparable gaps. However, there are also gaps which are not. This suggests the following definition.

### Definition

We say that an  $(\omega_1, \omega_1)$ -gap  $(L_\alpha, R_\alpha)_{\alpha \in \omega_1}$  is weakly donut-inseparable if there is  $X \in [\omega_1]^{\omega_1}$  so that  $(L_\alpha, R_\alpha)_{\alpha \in X}$  is donut inseparable.

## Gapness of $(\omega_1, \omega_1)$ -gaps may be determined by *AD*-families

### **Theorem-Under *CH***

Any  $(\omega_1, \omega_1)$ -is weakly-donut inseparable.

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### Theorem-Under *CH*

Any  $(\omega_1, \omega_1)$ -is weakly-donut inseparable.

### Theorem

Suppose that  $V \models CH$  and let  $\kappa$  be a cardinal. Then

$\mathbb{C}_\kappa \Vdash$  Any  $(\omega_1, \omega_1)$ -gap is weakly-donut separable.

Thus, this statement is consistent with arbitrarily large continuum.

2-capturing construction schemes (as defined by Todorćević) are particular subfamilies  $\mathcal{F}$  of  $[\omega_1]^{<\omega}$  which exist under  $\diamond$ -principle. Their existence imply things as (Joint work with O. Guzman and S. Todorćević):

- Strong  $L$  and  $S$ -spaces.
- Failure of Baumgartner's Axiom  $BA(\omega_1)$ .
- Suslin lattices in  $\mathcal{P}(\omega)$ .
- Suslin towers
- $\omega_1 \not\rightarrow (\omega_1, \omega + 2)^2$ .
- A sixth Tukey type.

In particular, their existence is inconsistent with  $MA + \neg CH$ . This is unfortunate, as 2-capturing construction schemes can be used to define natural and useful ccc-forcings. Fortunately...

## Definition

Let  $\mathcal{F}$  be a 2-capturing construction scheme. We define

$m_{\mathcal{F}} = \min(\mathfrak{m}(\mathbb{P}) : \mathbb{P} \text{ is ccc and forces that } \mathcal{F} \text{ is 2-capturing})$

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### Lemma

Consistently  $m_{\mathcal{F}} > \omega_1$ .



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### Lemma

Consistently  $m_{\mathcal{F}} > \omega_1$ .

### Theorem-Under $m_{\mathcal{F}} > \omega_1$

There is an  $(\omega_1, \omega_1)$ -gap which is **not** weakly donut-inseparable.

## Problem 1

Suppose that  $X$  is a partial order of cofinality  $\mathfrak{b}$ . Is there a cofinal  $Y \subseteq X$  for which there exists an  $(\omega, Y)$ -gap? (An almost equivalent question would be: What are the types of orders of unbounded families in  $(\omega^\omega, <^*)$ ?)

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### Problem 2

What can we say about PFA and  $(X, Y)$ -gaps when  $X$  and  $Y$  are not cardinals? In other words, how much can Baumgartner's theorem can be extended?

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### Problem 3

By translating the definitions to Boolean algebras in general: Is there a Boolean algebra  $\mathbb{B}$  which admits an  $(\omega_1, \omega_1)$ -gap but **not** an  $(X, Y)$ -gap for some  $X, Y$   $\omega_1$ -like orders? What about Parovichenko Algebras? If the answer to this question is positive, it motivates the study of classification of orders in terms of gaps.

#### Problem 4

Let  $T$  be an  $\omega_1$ -Suslin tree and  $(L_s, R_s)_{s \in T}$  be an  $(T, T)$ -gap. Under which conditions can we assure that for any  $B$  uncountable branch of  $S$  in some generic extension, the pregap  $(L_s, R_s)_{s \in T}$  is an  $(\omega_1, \omega_1)$ -gap?

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For a Luzin family  $\mathcal{A}$ , let  $\text{Spect}(\mathcal{A}) = \{(X, <) : \mathcal{A} \text{ codes } X\}$ . Under  $\mathfrak{b} = \omega_1$  there is a Luzin family  $\mathcal{A}$  so that  $\omega_1 \notin \text{Spect}(\mathcal{A})$ . Is this provable from ZFC?

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#### Problem 6

How much different can be the spectrum of two distinct Luzin families?

### **Problem 7**

In which canonical Models are there  $(\omega_1, \omega_1)$ -gaps which are not weakly donut-inseparable? Sacks Model, Random Model, Miller model, ..



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### **Problem 8**

What is the relation between *MA*, *PFA* or *PID* and donut-inseparability? In particular, what can we say about destructibility in this realm?